NYS Early Intervention Program (EIP) – Background and Overview
EIP Background

• New York State’s Early Intervention Program (EIP) is for infants and toddlers with developmental delays or disabilities and their families
• Part C of federal Individuals with Disabilities Education Act (IDEA)
• Title II-A of Article 25 of PHL – Implemented July 1, 1993
• Department of Health is Lead Agency responsible for administration and oversight
• All fifty-seven counties and New York City have a designated Early Intervention Official/public agency responsible for local administration
EIP Overview

- The EIP delivers services to approximately 70,000 children annually with expenditures of about $667 million across all payers.
- Program includes 1,312 providers who are in Agreements with NYS to bill for EI services
  - 57 Municipal Providers
  - 470 Billing Agencies
  - 785 Individual Practitioners
EIP Overview

• For program year 2017-18, total program spending for services was approximately $667 million.

• Commercial Insurance $12.3 million (1.8%)
• Medicaid $293.7 million (44.1%)
• State Funds $177 million (26.5%)
• County Funds $184 million (27.6%)
2019-2020 Upcoming EIP Activities
Regulation Implementation

• 90-day claiming – implemented changes to EI regulations (section 69-4.22), requiring providers to submit all claims for payment of evaluations and services within 90 days from the date of service on February 10, 2019

Pending new system implementation:
• MDE/MDA – implementation of multidisciplinary assessment for service planning for children eligible for the EIP due to a diagnosed condition with a high probability of resulting in developmental delay (section 69-4.8)
• ABA Services – addition of licensed behavior analysts and certified behavioral analyst assistants as qualified EI providers (section 69-4.1(al)(4) and (5)
Programmatic Updates/SPAs

5% Rate Increase – State Plan Amendment (SPA) 19-0019 was approved in August 2019; implementation is in progress, retroactive to April 1, 2019

Pending New System Implementation:

• Service Coordination Reimbursement Methodology – SPA 18-0017 is currently on hold with the Centers for Medicare and Medicaid Services
• Early Intervention Services – SPA 18-0039 was approved in June 2019
• Transportation – included as a service (will no longer be an administrative expense)
• New QP – licensed mental health counselors, licensed marriage and family counselors, licensed creative arts therapists, licensed psychoanalysts
Early Intervention Program
Operational Support
EI Systems History

- Kids Integrated Data System (KIDS) software developed and deployed by DOH in 1993
- De-centralized, Foxpro/DOS based application utilized by municipalities
- Data uploaded to DOH on quarterly basis
- Municipalities continued to use the legacy data system until children aged out of EI
- KIDS application decommissioned 12/31/2018
EI Systems History

• New York Early Intervention System (NYEIS) development initiated over 13 years ago

• Commercial software platform is IBM Curam Version 4.5; highly customized; centralized data

• Designed to support EI administration and management activities at municipal, provider and State levels including:
  – Provider enrollment/application and management data
  – Child referrals, demographic, insurance information as well as ‘case’ data such as evaluations, IFSP, and service authorizations
  Claim entry including invoice/billing rules
EI Systems History

• Deployed incrementally beginning in September 2010; all counties deployed by December 2012; new referrals only entered in NYEIS

• System Evolution and Maintenance provided by CMA until July 2016 when NYS ITS assumed operational support

• NYEIS End User Support/Helpdesk provided by CMA
EI Systems History

- Effective April 1, 2013, claiming functionality to third party payors performed by the State Fiscal Agent (SFA) via claim data extract from NYEIS
  - Exception for respite and transportation services which are processed in NYEIS
- SFA launched claiming platform, EI Billing
- Utilized by approved billing providers and municipal users
- System performs upfront edit checks prior to initiating third party claiming
EI Systems History

• Billing providers work denied/rejected claims, view status of claims, and run reports
• Post Third Party remittances manually or electronically
• Balance due to provider processed via municipal funded escrow account
• Produces report for SDOH to issue municipal reimbursement amount
• SFA performs customer service functions including system user support and training
EI Systems History

- NYEIS software and hardware running on outdated platforms
- Stakeholder input, including municipal and provider, that unified system desirable
- As procurement for continued SFA services being developed, DOH and ITS leadership recommendation to procure for a vendor hosted system solution to include:
  - Provider Enrollment and Management
  - Child/Case Management
  - State Fiscal Agent services
EI Systems Moving Forward

- Request for Proposal #17744 released August 17, 2018
- Award made to Public Consulting Group (PCG)
- Contract executed August 6, 2019

- High level schedule:
  - September-October: Business requirements development
  - October-December: System and functional requirements
  - December-April: System development and testing
  - May-June: Initial training for municipalities and providers
  - Summer 2020: Vendor hosted system launch
EI Systems Moving Forward

• Provider Management by Summer 2020:
  o Convert and migrate all provider information from NYEIS including but not limited to, from/to dates, approval and agreement history, qualified personnel and service models.
  o Capture all Provider related data including but not limited to, type of agreement, multiple affiliations, unique service categories, and unique identifiers such as Medicaid IDs or National Provider IDs (NPI).
  o Capture of Assistive Technology vendor information
  o Capture of vendor service information for providers of respite and transportation service.
  o Allow authorized users to enter/modify/edit provider records online.
EI Systems Moving Forward

- Child and Case Management by Summer 2020:
  - Convert and migrate all historical data for active children from NYEIS (historical data for non-active children will be converted and migrated by August 1, 2020)
  - Facilitate the collection of all data needed to meet state and federal reporting requirements, such as Child Outcome Summary (COS) and Annual Performance Reporting (APR)
  - Capture all data needed for each step of the child’s EIP lifecycle, including referral, intake/initial service coordination, evaluation, individualized family service plan (IFSP) and services, transfer, transition and exiting the EIP, and child and family outcomes.
  - Have the functionality to allow for the upload and storing of PDF attachments.
EI Systems Moving Forward

• Fiscal Management
  o Continue all current SFA functions
  o By Summer 2020:
    ▪ accept direct submission of claims from State approved Early Intervention Program (EIP) billing providers
    ▪ apply EI billing rules and edits to claims; assume responsibility for all EIP claims entry, validation and editing, including validation and editing previously taking place in NYEIS
    ▪ provide enhanced QA/QC of billing and claiming process and payments
EI Systems Moving Forward

- BEI contract with New York State Technology Enterprise Corporation (NYSTEC) to provide Early Intervention System Support Services
  - Assist with overall project management activities, business requirements documentation, quality management activities including testing, and security risk assessment
- NYSTEC Project Manager: James Bryant
- PCG Project Manager: Joe Baile
EI Systems Moving Forward

- Training, implementation and change management plans under development as well as stakeholder engagement planning with municipalities and providers
- Several EIP initiatives such as MDE/MDA and ABA services expected to be implemented with launch of new system
- Currently, no new NYEIS application development activities